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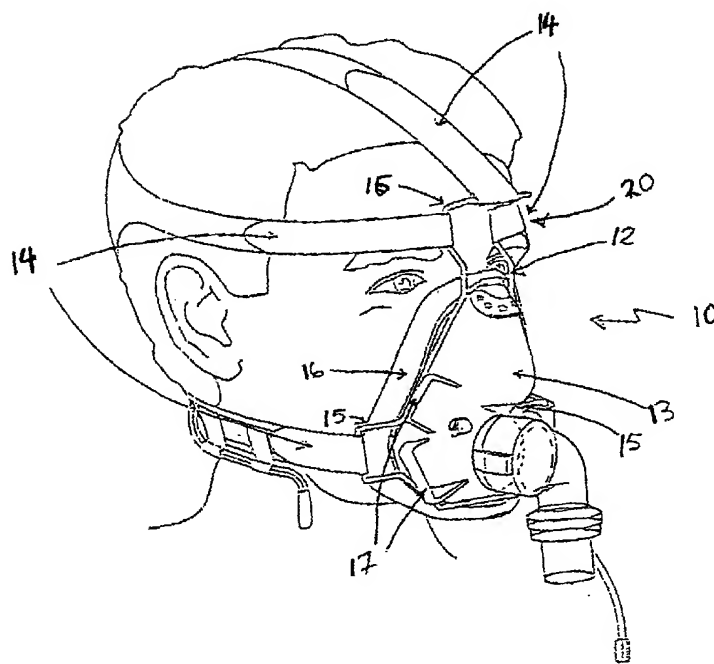
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(54) Title: MASK BRACE AND MASK ASSEMBLY



(57) **Abstract:** A patient mask assembly for positive pressure ventilation includes a brace (12) which clips to the mask and provides attachment locations (17) for headgear straps (14) in alternative positions to those attachment locations (17) which may be provided by the mask. The brace may also provide a mask stabilizing portion (20).



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MASK BRACE AND MASK ASSEMBLY

BACKGROUND OF THE INVENTION

Field of the Invention

The invention relates to the configuration, fitting and operation of a mask
5 suitable for the delivery of non-invasive positive pressure ventilation and for nasal CPAP treatment of sleep disordered breathing conditions such as obstructive sleep apnea.

Description of related art

Obstructive Sleep Apnea (OSA) is a disease characterized by excessive
10 daytime sleepiness, loud snoring and daytime irritability. Other effects of OSA can include depression, high blood pressure, serious heart conditions, sexual problems, memory lapses, intellectual deterioration and morning headaches. The treatment of OSA by the application of nasal Continuous Positive Air Pressure (CPAP) was invented by Sullivan and is described in US Patent 4,944,310 (Sullivan, assignee
15 ResMed Limited). The technique involves the application of a flow of pressurized breathable gas (typically room air) to either the nose or nose and mouth of a patient while they sleep. This technique is said to "splint" open the airways. Typical treatment pressures are in the range of 3 to 20 cm H₂O. Flows are up to approximately 200 L/min. Usually the flow of pressurized air is produced by a blower and delivered to
20 the patient via a patient interface. The source of the air flow and patient interface are joined by a conduit. Whilst there are other techniques for the treatment of OSA such as surgery, the use of CPAP has become the "gold" standard. For a particular patient the pressure which is needed to maintain open airways can vary throughout the night and vary on different nights. US Patent 5,245,995 (Sullivan and Lynch, assignee
25 ResMed Limited) describes a method and device for varying the pressure in accordance with indications. For example, if the patient starts to snore, the pressure automatically increases, whilst in the absence of snoring the pressure automatically decreases.

Non-Invasive Positive Pressure Ventilation (NIPPV) is another form of
30 treatment for breathing disorders. In its most basic form it involves a relatively higher pressure of gas being provided in the patient mask during the inspiratory phase of

respiration and a relatively lower pressure or atmospheric pressure being provided in the patient mask during the expiratory phase of respiration. Typical treatment pressures are in the range of 3 to 30 cm H₂O.

In other NIPPV modes the pressure can be made to vary in a complex manner
5 throughout the respiratory cycle. For example, the pressure at the mask during inspiration or expiration can be varied through the period of treatment, as disclosed in international PCT patent applications PCT/AU97/00631 (Berthon-Jones, assignee ResMed Limited) and PCT/AU99/00386 (Berthon-Jones, assignee ResMed Limited).

In this specification, any reference to CPAP treatment is to be understood as
10 embracing all of the above-described forms of ventilatory treatment or assistance.

One of the earliest patient interfaces for providing CPAP treatment was constructed to include a fiberglass model of the patient's nose. The model was adhered to the patient's nose each night and removed each morning. An advantage of this patient interface included the customized fit which assisted in achieving a good
15 seal between the patient interface and the patient's airways. However the use of adhesive to secure the mask was inconvenient and not desirable.

Another suitable patient interface is described in US Patent 5,243,971 (Sullivan and Bruderer, assignee University of Sydney) entitled "Nasal Mask for CPAP having Ballooning/Moulding Seal with Wearer's Nose and Facial Contours".
20 This patent describes a nasal mask with a soft face-contacting portion and a rigid shell. The mask is held in position using headgear. The headgear is attached to the mask shell and passes around the back of the wearer's head. The patent depicts two sets of straps in the headgear. The first set comprised a pair of straps passing from the forehead region to the back of the head. The second set comprised a pair of straps
25 passing from the nasal region of the mask to the back of the head.

Another known patient interface is the MIRAGE[®] nasal mask (by ResMed Limited). This nasal mask includes a pair of headgear attachment points in the nasal region of the mask shell and a forehead support which includes another pair of headgear attachment points. The forehead support to this mask is the subject of US
30 Patent 6,119,693 (Kwok, Matchett & Grant, assignee ResMed Limited). The headgear comprises a single piece of a soft, flexible composite fabric with a generally triangular back portion and four straps. The four straps comprise a pair of upper straps and a pair

of lower straps connecting to the headgear attachment points on the forehead support and nasal mask shell respectively. At the end of each strap is secured a piece of hook and loop fastening material such as Velcro™ which, in use, passes through a headgear attachment point and fastens on corresponding loop material on the strap. The
5 generally triangular back portion engages the skull in the region of the occiput. The fabric stretches under a load. The base of the triangle is positioned near and generally in line with the upper straps.

Some patients open their mouths during sleep which means that they may not receive the benefit of nasal CPAP due to mouth leaks. Various solutions have been
10 proposed for this problem. One solution is taught in US Patent 6,123,082 (Berthon-Jones, assignee ResMed Limited), whereby the lips are held closed. Another solution is to use a mask which covers both the nose and mouth of the patient. An example of a mouth and nasal mask is described in US Patent 5,560,354 (Berthon-Jones, Calluaud, Lynch & Hely, assignee ResMed Limited).

15 Another suitable mask system is the MIRAGE® full face mask (by assignee ResMed Limited). The MIRAGE® full face mask and headgear is illustrated in Fig 1. Suitable headgear for this mask is constructed from a composite material of nylon, neoprene and hook and loop material. The headgear similarly comprises a pair of upper and a pair of lower straps and a generally triangular back portion. The upper
20 strap has a total length of approximately 610 mm. The straps have an approximate width of 25 mm, however the upper strap has an approximate width of 19 mm. The triangular region has a base of approximately 15.5 cm and two equal sides of approximately 11 cm. The upper and lower straps are approximately 192 mm apart. In addition, the headgear includes a quick release mechanism as described in the pending
25 application No. USSN 09/482,718 (Lithgow, assignee ResMed Limited). The quick release mechanism provides a "rip cord" which can be pulled upon to separate the headgear and hence remove the mask in an emergency. When the headgear is positioned on the patient's head, the quick-release mechanism is situated at the back of the head and the cord runs through loops towards the front of the mask system.

30 Patient interface arrangements include nasal masks, nose and mouth masks, nasal prongs and nasal pillows. In all forms of patient interface used with CPAP for treating sleep disordered breathing, there is a need to counterbalance the force of the

pressurized air and to correctly position the interface. Since the patient must sleep with this interface, it is important that it be comfortable. From the manufacturing and distribution channel perspectives, it is advantageous if one size of headgear fits a large range of head shapes and sizes.

5 Other examples of prior art headgear and mask shells have configurations which are different to those depicted in US Patent 5,243,971 and used in the MIRAGE[®] nasal mask and MIRAGE[®] full-face mask in so far as they incorporate a different number of headgear straps or differ in the placement of the head strap attachment points or both. This variety of mask configurations is required to address
10 the biological variability existing between users, each user having a unique facial and head shape. However no one mask system involving headgear and fixed point strap attachment points offers continuous variability in placement. As a result, when selecting from a range of mass produced mask and headgear systems, in the majority of cases the selection will represent a compromise for the user where a balance must
15 be struck between comfort and the achievement of a secure fit and good seal between the mask and the user.

 An approach to headgear attachment which allows for great variability in head strap number and attachment position to the mask would yield great benefits to the user in terms of comfort and function, to the mask system manufacturer in terms of
20 facilitating the opportunity to easily experiment with different mask positions and headgear configurations while moving towards the desirable objective of rationalising manufacturer and supplier inventory.

 It should be noted that while there are many mask and headgear arrangements available for ventilators, respirators, aviator masks and other breathing apparatus,
25 generally these may not be suitable for use in the treatment of sleep disordered breathing because they are not sufficiently comfortable to allow the patient to sleep.

 The present invention is directed towards providing a method of configuring a mask and a mask for use in the treatment of sleep disordered breathing which improves patient comfort, is long lasting and fits a wide range of head shapes and
30 sizes.

SUMMARY OF THE INVENTION

The present invention relates to an improvement to the method and apparatus for the positioning and attachment of headgear to a patient interface such as a mask.

The invention includes the incorporation of a brace into the mask which is
5 independent of the mask shell, said brace being adapted in use to be held in a substantially fixed position relative to the mask shell and to accommodate the attachment of at least part of the headgear.

The present invention enables the attachment points for headgear to be determined and varied in a physical or temporal sense independently of the mask shell
10 configuration.

The invention broadly resides in a brace for a patient airway interface, the brace being shaped so as to be retainingly engageable with the interface and providing at least one formation for engagement with a headgear member.

Preferably the brace includes an elongate member shaped so as to be
15 engageable by formations on said interface.

The invention also broadly resides in a brace for a patient airway interface, the brace being shaped so as to be retainingly engageable with the interface, the brace further including a formation disposed for engagement with the patient's forehead.

20 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a prior art full-face mask system located on a user's face.

Figure 2 shows a mask system incorporating a brace according to the present invention located on a user's face.

Figure 3 shows a brace according to the present invention located on a mask
25 shell.

Figure 4 shows another view of the brace and mask of Fig. 3, and

Figure 5 shows a brace according to the present invention.

DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

30 Figure 2 shows a mask system 10 incorporating the present invention consisting of a brace 12, mask shell 13, headgear straps 14, brace head strap attachment points 15, mask cushion 16 and mask shell headgear attachment points 17.

It will be immediately apparent that the headgear attachment points 17 of the mask shell are superfluous in this embodiment of the present invention so far as concerns their original intended role as attachment points for the headgear. This is because the headgear attachment points of the brace serve the requisite purpose independently of any attachment point of the mask shell. Nevertheless because it is intended that the brace assume a fixed predetermined position with respect to the mask shell, existing mask shell headgear attachment points 17 can serve as retaining formations for the brace.

While the brace depicted in Fig. 2 has provision for attaching five headgear straps, the brace may be configured so as to receive any suitable number. The present invention envisages the brace assuming a substantially constant position relative to the mask shell when the mask assembly is properly located on the user. It may be configured to receive at least as many headgear straps as are required for a particular headgear configuration or alternatively may have less than or more headgear attachment points with respect to any particular headgear configuration.

The versatility of the present invention will be immediately apparent to those skilled in the art in that for any given mask shell, it is possible to configure a brace so as to receive the requisite number of headgear straps and to locate the brace headgear attachment points in such locations as to allow a user to choose between a variety of headgear configurations. This versatility allows the user to optimise their choice of headgear as the particular requirements may dictate so as to achieve the requisite mask seal and comfort.

A user may be supplied with a number of braces each with a different number of headgear attachment points or at least having a set number of headgear attachment points positioned in a variety of configurations with respect to the mask shell in order that a suitable choice may be made.

In an embodiment of the invention, the brace is designed so as to achieve a "clip fit" on an existing mask frame as previously described.

Fig 3 illustrates a clip fit arrangement in which a brace 12 is fitted between the existing mask frame headgear attachment points 17 and the mask shell outer surface, in this case the peripheral flange portion 18. Where headgear strap attachment points 17 are provided for on the mask shell they may be used in conjunction with some or

all of the headgear strap attachment points 15 of the brace 12 thereby providing further flexibility in the configuration.

In an alternative embodiment of the invention (not illustrated) suitable engagement points may be created within the mask shell so as to receive the brace, such attachment points being designed so as not to be suitable for attaching headgear straps.

Because of the versatility offered by the brace of the present invention, it also offers the possibility for incorporating a mask stabilising feature independent of or in addition to the inclusion of the headgear attachment points. An example of such a stabilizing feature is illustrated in the accompanying drawings in the form of a forehead stabilising section 20. The stabilizing portion 20 takes the form of a portion of the brace extending clear of the mask shell so as to provide an elevated engagement point and/or to contact a portion of the user's face, in this case the forehead. The malleability of the wire used to form the brace allows the position of the stabilizing portion to be adjusted for individual patients. In Figure 2 it can be seen that the forehead stabilising portion 20 is in near contact or contact with the user's forehead thereby limiting movement of the accompanying mask frame and mask cushion towards to the user's face in the region of the nasal bridge. This stabilising feature is achieved due to the relatively rigid engagement of the brace in relation to the mask shell.

Figure 5 shows schematically a version of a brace according to the present invention suitable for use with a MIRAGE[®] full-face mask system by the present applicant. The brace is constructed of 2.5 mm gauge mild steel wire butt-welded so as to assume a continuous form. The wire is bent to the appropriate configuration using standard wire bending techniques which may include automated bending by way of a suitably programmed NC wire bending machine.

Preferably the resulting brace is powder coated so as to provide for a corrosive resistant and easily cleaned surface.

Mask shells are typically molded from polycarbonate or similar material. Such a construction technique requires a relatively expensive molding tool. This means that it is expensive for the manufacturer to change the location of the headgear attachment

points if they are molded into the mask shell. In contrast it is relatively cheap to configure and manufacture a brace according to the present invention.

The brace is easily hand fitted to the mask frame and clip set in place as previously described. This manoeuvre being easily achieved by hand, in view of the
5 inherent malleability of the brace material. It is clear that an alternative embodiment could be configured so as to adapt the brace to be received by brace receiving features in a mask frame.

It is also intended that the brace could be configured so as to engage brace receiving features that may be provided by a mask cushion clip. In this way the brace
10 may be accurately and securely positioned relative to the mask frame while serving to secure the cushion clip and cushion to the mask frame. The brace engaging feature may be located on the brace with a reciprocal feature on the mask shell or alternatively may be a separate component that engages the brace and the mask shell. The brace receiving features may either extend around the outer circumference of the
15 mask frame surrounding and securing the mask cushion or may pass through apertures provided for in the mask frame.

The method and mask assembly of the present invention provide further advantages such as allowing for the inclusion of other accessories that would be of benefit to the user, such as provision for holding or attaching a gastro-nasal tube or a
20 sensor for measuring physiological parameters of the user, attaching a tube for the provision of a supplemental gas or pharmaceuticals, or attachment of other accessories such as a device for preventing or inducing the passage of air through the mouth such as is described and depicted in US Patent 6,123,082 (Berthon-Jones, assignee ResMed Limited).

25 Other benefits of the invention include the ability to disassemble the headgear from the mask while the headgear is engaged with the brace without affecting strap length adjustment in order that the mask shell and cushion may be washed. The benefit of this is that the brace and headgear assembly may be re-attached to the mask frame without requiring the re-adjusting of the strap length.

30 This is because once the brace is located in the predetermined position relative to the mask frame, the head strap position relative to the mask frame will resume the position it was in at the time that the brace was removed from the mask shell.

By making the mask brace of a malleable material, such as is described above in relation to the preferred embodiment, it will be appreciated that a user can make some adjustment to the position of the headgear retaining portion or the mask stabilising feature or both so as to meet particular needs. This opportunity to make
5 such adjustments allows for rapid tailoring of the mask assembly to a user's particular requirements.

While the preferred embodiment includes description of a mask brace having a clip fit to the mask shell, it is envisaged that the brace might be attached to the mask shell by other means such as a hook and loop system like VelcroTM or other suitable
10 means. Preferably the attachment means achieves a consistent support of the brace relative to the mask frame while located but also allowing for a relatively easy disassembly of the two components in order to facilitate the achievement of other benefits that come with the present invention.

The present invention is particularly suitable for accommodating asymmetrical
15 mask configurations as may be required by users such as those with facial palsy. For example, the present invention allows for the individual configuration of asymmetrical head strap attachment points and use of asymmetrical mask cushions with standard mask frames.

CLAIMS:

1. A brace for a patient airway interface, the brace being shaped so as to be retainingly engageable with the interface and providing at least one formation for engagement with a headgear member.
5
2. A brace according to claim 1 in which said brace includes an elongate member shaped so as to be engageable by formations on said interface.
- 10 3. A brace according to claim 2 in which said elongate member is engageable between opposed formations on said interface.
4. A brace according to claim 3 in which one of said formations is a peripheral flange of said interface.
15
5. A brace according to claim 3 in which at least one of said formations is a headgear member attaching formation on said interface.
6. A brace according to claim 2 in which said elongate member is formed by wire bent to conform to the shape of said interface and to provide headgear member locating positions.
20
7. A brace according to claim 6 in which said headgear member locating positions are formed by local bending of said wire into a U shape.
25
8. A brace according to claim 3 wherein the patient interface is of the kind including a conformable face-engaging portion and a relatively rigid shell, said opposed formations being provided on the shell.
- 30 9. A brace according to claim 8 in which one of said formations is a peripheral flange of said shell.

10. A brace according to claim 8 in which at least one of said formations is a headgear member attaching formation on said shell.
11. A brace for a patient airway interface, the brace being shaped so as to be retainingly engageable with the interface, the brace further including a formation disposed for engagement with the patient's forehead.
12. A brace according to claim 11 including an elongate member shaped so as to be engageable by formations on the interface and extending beyond the interface for engagement with the forehead.
13. A brace according to claim 12 in which said elongate member is formed by wire bent to conform to the shape of said interface and to provide headgear member locating positions.
14. A brace for a patient airway interface, the brace including an elongate member shaped so as to be retainingly engageable with the interface, said elongate member including formations for engagement with at least one headgear member and a portion disposed in the region of the patient's forehead.
15. A brace according to claim 14 wherein at least one engagement formation is located in said portion.
16. A patient airway interface assembly including a mask shell, mask cushion and mask cushion clip for attaching said cushion to said shell, the assembly further including a brace shaped so as to be retainingly engageable with the interface and providing formations for engagement with at least one headgear member.
17. An assembly according to claim 16 in which said brace engages said shell and said cushion clip to secure said cushion clip to said shell.

18. An assembly according to claim 16 in which said shell includes at least one formation for the attachment of a headgear member, said formations of said brace being located so as to provide a different headgear member alignment from that provided by the formations of said shell.

5

19. An assembly according to claim 18 in which at least one of said formations of said shell function as points of engagement between said brace and said shell.

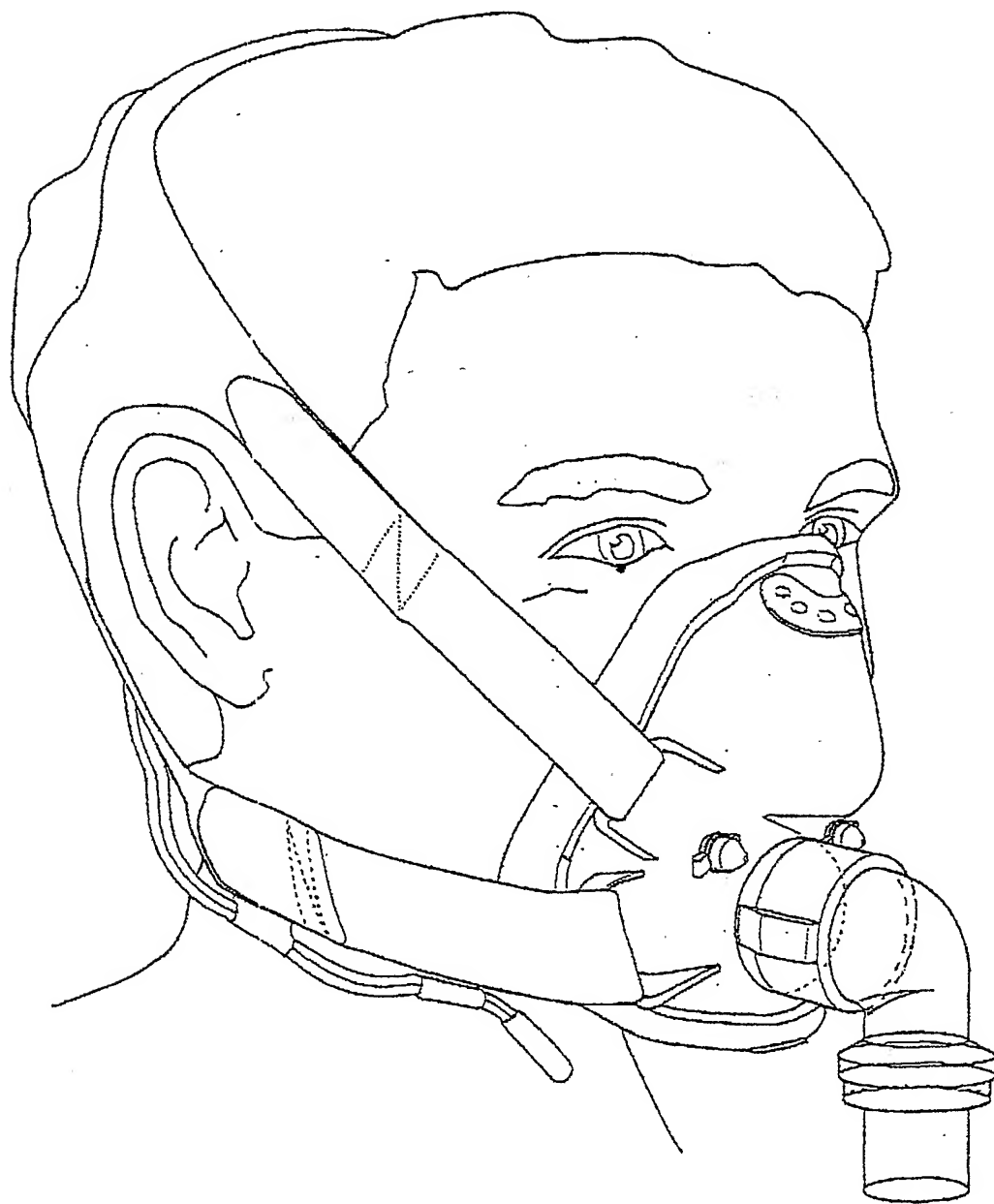
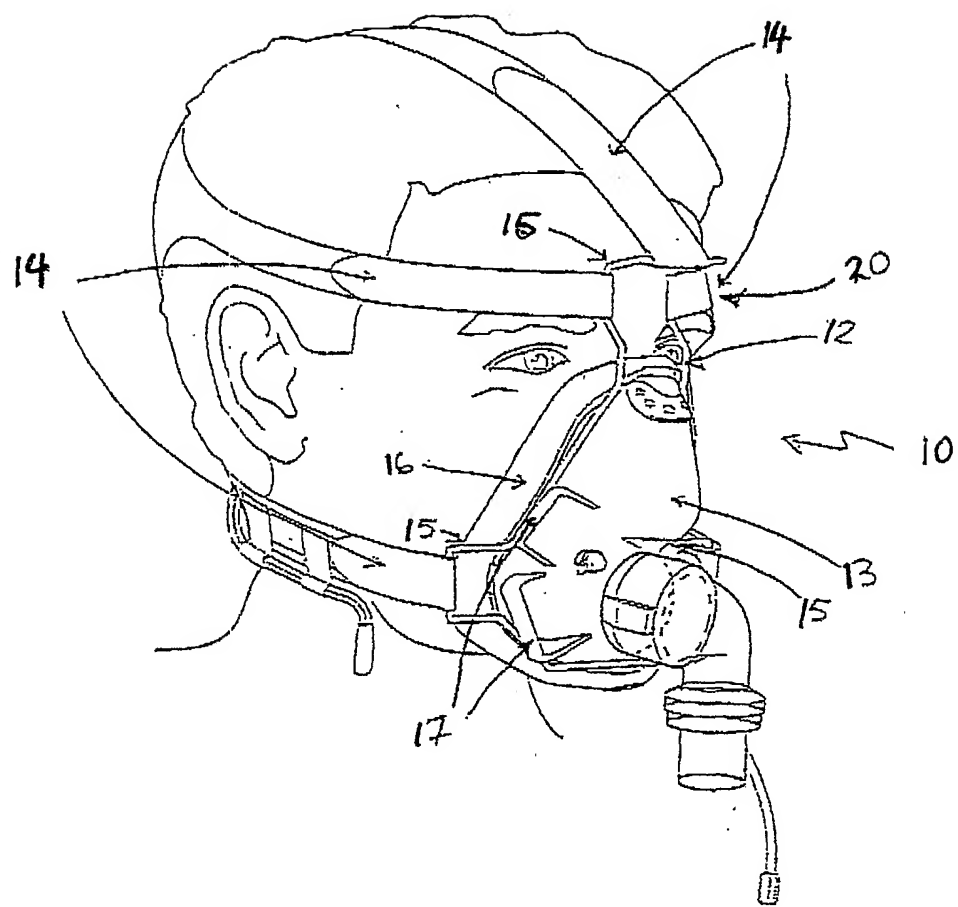
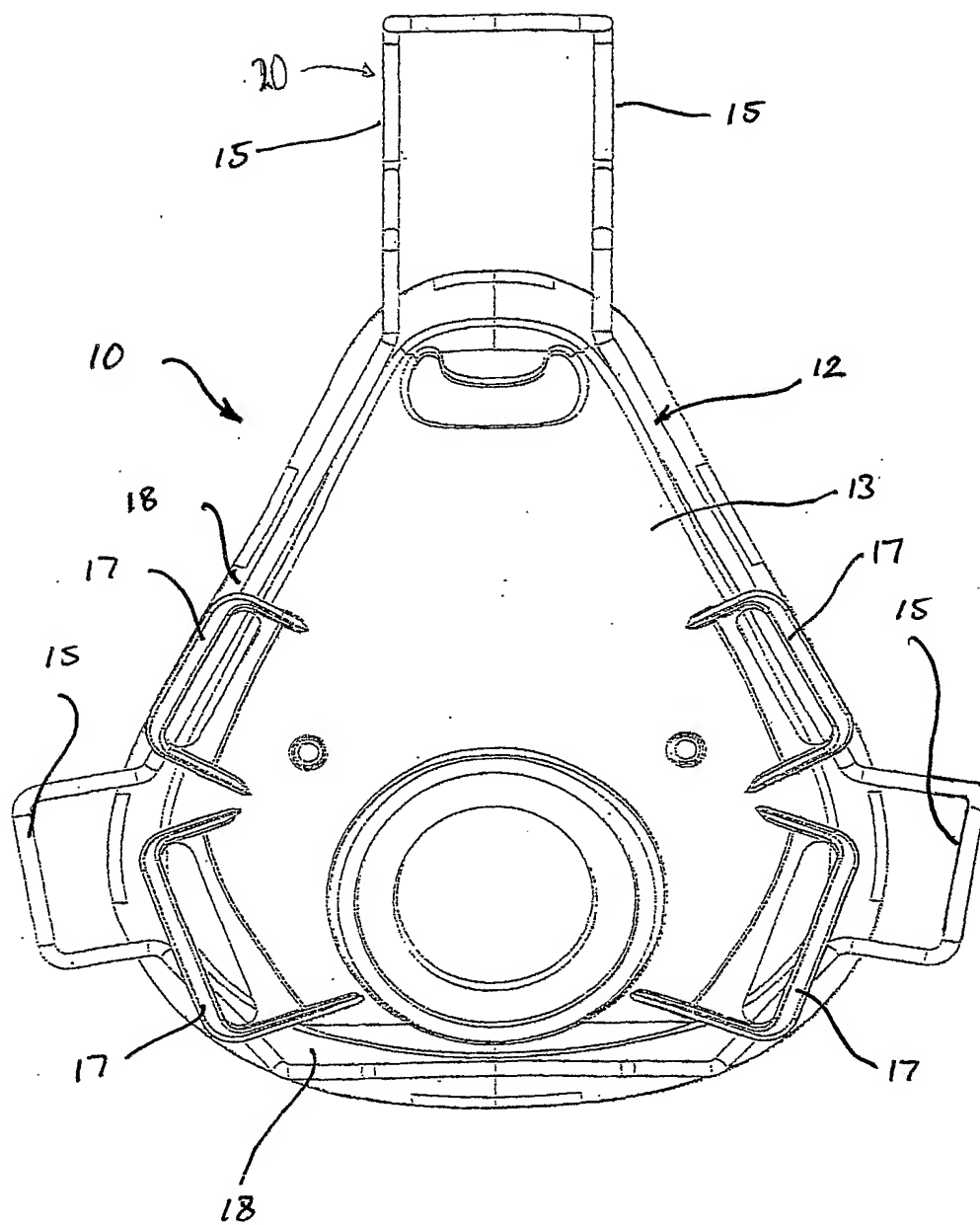


Fig 1

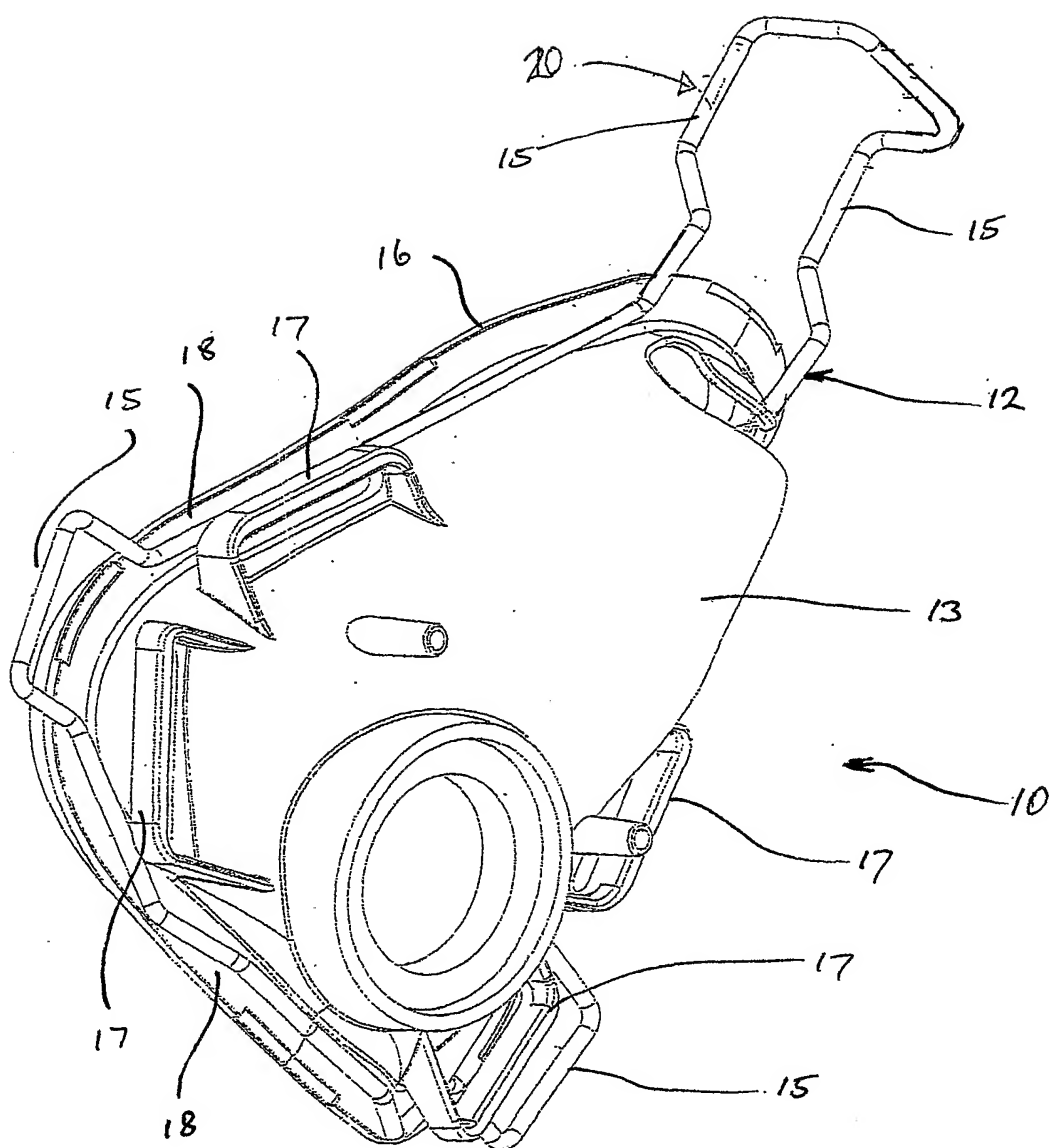
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Fig. 2

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Fig. 3

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Fig. 4

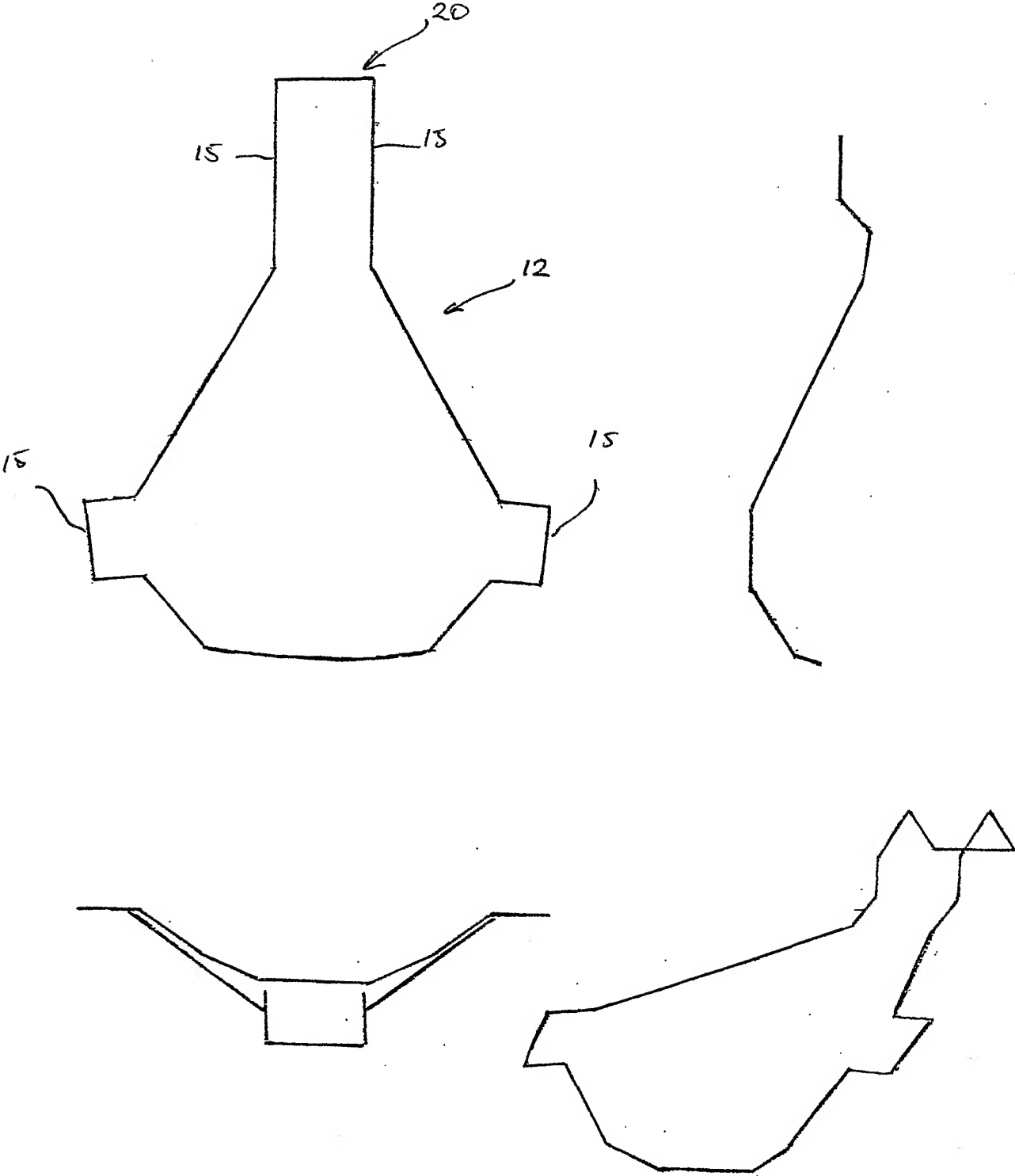


Fig. 5

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU01/01578

A. CLASSIFICATION OF SUBJECT MATTERInt. Cl. ⁷: A61M 16/06, A62B 18/08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61F/IC, A62B/IC

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

DWPI + keywords (mask, brace, frame, support, attach, clip, snap etc)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| X | GB 2176404 A (ODESSKY GOSUDARSTVENNY) 31 December 1986 See entire document | 1-3, 8, 16 |
| X | US 4630604 A (MONTESI) 23 December 1986 See entire document | 1, 16 |
| X | FR 2720280 A1 (LE MITOUARD) 1 December 1995 See entire document | 1, 2, 16 |
| X | DE 3927038 A1 (HÖFER) 21 February 1991 See entire document | 11, 12 |



Further documents are listed in the continuation of Box C



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Date of the actual completion of the international search

31 January 2002

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INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/AU01/01578

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

| Patent Document Cited in Search Report | | Patent Family Member |
|---|---------|----------------------|
| GB | 2176404 | NONE |
| US | 4630604 | NONE |
| FR | 2720280 | NONE |
| DE | 3927038 | NONE |
| | | END OF ANNEX |